

Audit Committee 12th February 2019

Summary of the overall status of risk within the Council – Quarter 3 2018/19

The following report summarises the overall status of risk within Swansea Council.

Overall Risk Status – Quarter 3 2018/19

The table below illustrates overall risk status as at Quarter 2 and Quarter 3 2018/19.

	Low Risk	Medium Risk	High Risk
Quarter 2 18/19	21	135	29
Quarter 3 18/19	20	137	30
+ - change	-1	+2	+1

Summary – changes to the Risk Registers

- **79%** of the risks that were in place as at Quarter 2 2018/19 were recorded as having been reviewed in Quarter 3 2018/19; this compares to Quarter 2 2018/19 where 98% of risks had been reviewed since Quarter 1 2018/19.



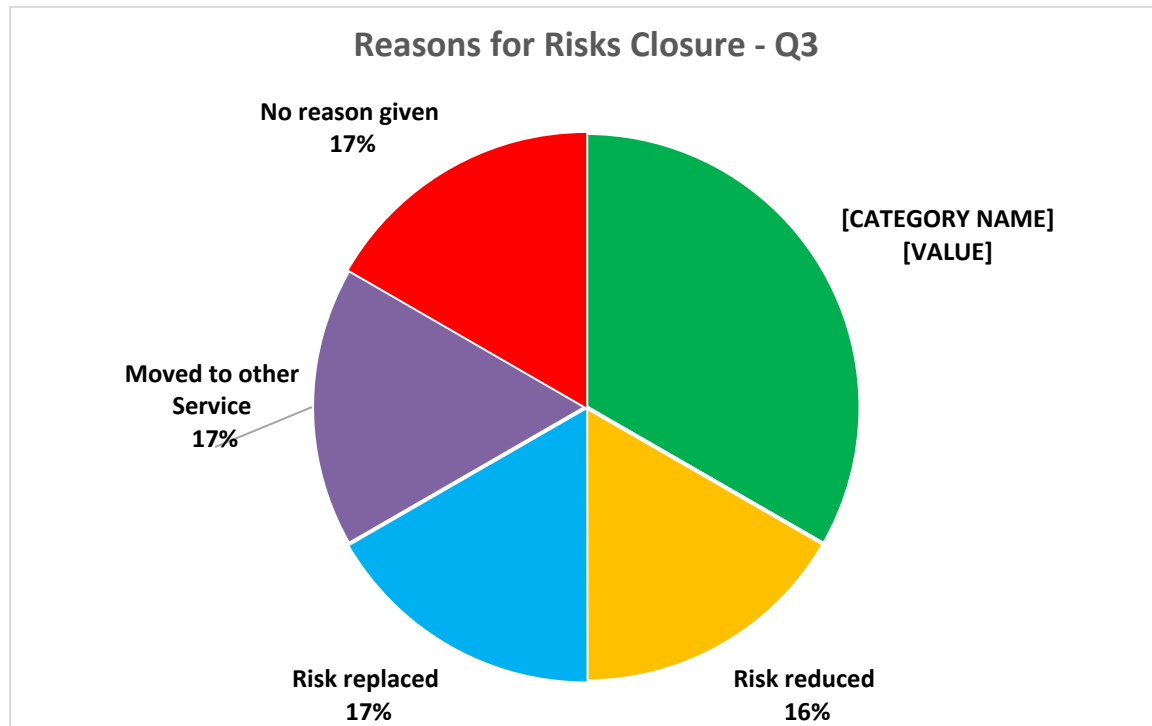
- 8 new risks were added to the registers.

Low Risk	Medium Risk	High Risk
0	6	2

- 6 risks were closed.

Low Risk	Medium Risk	High Risk
3	2	1

- 5 out of the 6 risks (**83%**) that were closed had reasons/comments for doing so recorded, compared to **100%** in Quarter 1 2018/19. Reasons for closure displayed in the chart below:



- No risks were escalated in Q3, whereas one risk was escalated in Quarter 2 2018/19.
- One risk was moved from Social Services into Education.
- The overall RAG status of 9 risks were changed. Of these 5 showed a reduction in risk level and 4 showed an increase.

Other observations

- Controls and actions are in place for all risks on the registers.
- Cabinet and Corporate Management Team jointly reviewed the Corporate Risks on 11/12/18 in line with the new Risk Management Policy.

Corporate & Directorate Risk Registers

- The Corporate & Directorate Risk Registers dated 22/01/19 are attached respectively at **Appendix B** and **Appendix C**.